

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



**In re Application of:**

Chen et al.

**Serial No.:** 10/701,939

**Filed:** November 4, 2003

**For:** CONTROLLED RELEASE DEPOT  
FORMULATIONS

**Confirmation No.:** 5013

**Examiner:** S. Kennedy

**Group Art Unit:** 1615

**Attorney Docket No.:** ARC 3307 US R1  
(3139-6269US)

**CERTIFICATE OF MAILING**

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July 23, 2007  
Date

  
Signature

Timothy L. Palfreyman  
Name (Type/Print)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

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P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the document listed on attached Form PTO-1449 or PTO/SB/08 be considered by the Examiner and made of record. Copies of any cited foreign patents, publications, or pending unpublished U.S. applications are enclosed pursuant to 37 C.F.R. § 1.98(a)(2).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or an

admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

U.S. Patent Documents

<u>U.S. Patent No.</u>	<u>U.S. Patent No.</u>	<u>U.S. Patent No.</u>
US-6,331,311	12/18/2001	Brodbeck et al.
US- 2003/0170289	09/11/2003	Chen et al.
US- 2003/0180364	09/25/2003	Chen et al.
US- 2004/0001889	01/01/2004	Chen et al.
US- 2004/0022859	02/05/2004	Chen et al.
US- 2004/0024069	02/05/2004	Chen et al.
US- 2005/0106214	05/19/2005	Chen
US- 2005/0281879	12/22/2005	Chen et al.

Foreign Patent Documents

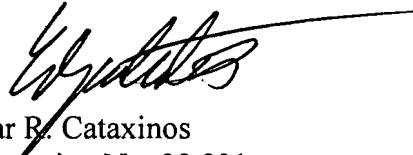
<u>Document No.</u>	<u>Publication Date</u>	<u>Patentee</u>
WO 98/27963	07/02/1998	Brodbeck

Applicants offer to supply any explanation or discussion of the document which the Examiner feels is necessary or desirable and which is requested.

This Supplemental Information Disclosure Statement is filed after the mailing date of the first Office Action on the merits.

The fee pursuant to 37 C.F.R. § 1.17(p) is enclosed.

Respectfully submitted,



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Date: July 23, 2007

ERC/nj:tlp

Enclosures: Form PTO-1449 or PTO/SB/08  
Cited Non-U.S. Patent Documents

Document in ProLaw

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<p style="text-align: center;">Substitute for form 1449A/PTO</p> <h1 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h1> <p style="text-align: center;"><i>(use as many sheets as necessary)</i></p>				<i>Complete if Known</i> Application Number      10/701,939 Filing Date              November 4, 2003 First Named Inventor    Chen et al. Group Art Unit           1615 Examiner Name           Unknown Attorney Docket Number ALZ5045R1 (3139-6269US)
Sheet	1	of	2	

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 93/24150 A	12/09/1993	Hutchinson		
		WO 95/13799 A	05/26/1995	Ramstack et al.		
		WO 00/74650 A	12/14/2000	Brodbeck et al.		
		WO 02/38185 A	05/16/2002	Dunn et al.		
		WO 02/067991 A	09/06/2002	Cleland et al.		
		WO 03/041684 A	05/22/2003	Chen et al.		
		WO 03/041685 A	05/22/2003	Chen et al.		
		WO 03/041757 A	05/22/2003	Chen et al.		
		WO 04/000269 A	12/31/2003	Chen et al.		
		WO 04/011054 A	02/05/2004	Chen et al.		

<b>Examiner Signature</b>		<b>Date Considered</b>	
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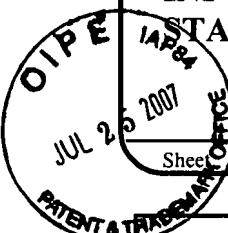
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>1449A 5 2007 5 SHEET 1</small>		Application Number	10/701,939
<i>(use as many sheets as necessary)</i>		Filing Date	November 4, 2003
		First Named Inventor	Chen et al.
		Group Art Unit	1615
		Examiner Name	S. Kennedy
Sheet	1	of	1
		Attorney Docket Number	ARC 3307 US R1 (3139-6269US)



## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	10/701,939
		Filing Date	November 4, 2003
		First Named Inventor	Chen et al.
		Group Art Unit	1615
		Examiner Name	Unknown
<i>(use as many sheets as necessary)</i>		Attorney Docket Number	AL75045R1 (3139-6269US)
Sheet	2	of	2

#### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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Chen et al.

Serial No.: 10/701,939

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Confirmation No.: 5013

Examiner: Unknown

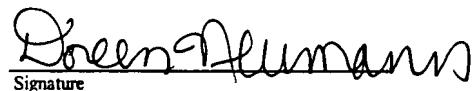
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Attorney Docket No.: ALZ5045R1  
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Date



Doreen Neumann  
Name (Type/Print)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents  
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Alexandria, VA 22313-1450

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U.S. Patent Documents

<u>U.S. Patent No.</u>	<u>Publication Date</u>	<u>Patentee</u>
US - 4,443,340	04/17/1984	May et al.
US - 4,938,763	07/03/1990	Dunn et al.
US - 5,468,253	11/21/1995	Bezwada et al.
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<u>Document No.</u>	<u>Publication Date</u>	<u>Patentee</u>
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WO 95/13799 A	05/26/1995	Ramstack et al.
WO 00/74650 A	12/14/2000	Brodbeck et al.
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WO 03/041757 A	05/22/2003	Chen et al.
WO 04/000269 A	12/31/2003	Chen et al.
WO 04/011054 A	02/05/2004	Chen et al.

Other Documents

RAVIVARAPU, et al., "Polymer and microsphere blending to alter the release of a peptide from PLGA microspheres," European Journal of Pharmaceutics and Biopharmaceutics, Elsevier Science Publishers B.V., Amsterdam, NL, Vol. 50, No. 2, Sept. 2000.  
International Search Report dated 18 May 2004 (9 pages).

Applicants offer to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

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Date: September 23, 2004

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